



RISK ADVISORY SERVICES:

SOC & HITRUST SOLUTIONS

REPORTS & READINESS ASSESSMENTS

System and organizational control reports and assessments provide you with an opportunity to affirm the design and effectiveness of your internal controls for all the data you process or store on your clients' behalf. Whitley Penn's solutions include multiple industry-tailored SOC report options, SOC readiness assessments, and SOC 2+ HITRUST assessment reports.

WHICH REPORT OR ASSESSMENT IS RIGHT FOR YOU?



SOC Readiness Assessments

An evaluation of the organization's current state to identify any gaps that need to be remediated prior to a SOC examination.



SOC 1

Allows you to demonstrate to your clients and their auditors that the internal control over their financial data is effective and in compliance with laws and regulations, such as Sarbanes-Oxley 404.



SOC 2

Provides your clients with information on your controls over the security, availability, processing integrity, confidentiality, and privacy of their data (Trust Services Criteria).



SOC 2+

A tailored SOC 2 report that includes industry-specific frameworks or specific client requirements (e.g., Health Insurance Portability and Accountability Act (HIPAA), ISO 27001/2, **HITRUST Alliance (HITRUST)**, etc.).



SOC 3

Addresses the same requirements as a SOC 2 report, but the details of the testing performed are not disclosed.

HITRUST CSF

HITRUST, a certifiable common security and privacy control framework specifically targeted for the healthcare industry, unifies and standardizes a myriad of security and privacy related regulations, standards, and frameworks (including HIPAA, GDPR, and PCI-DSS).

Our services help you differentiate from the rest by allowing you to demonstrate your compliance with multiple industry standards and regulations in a comprehensive, flexible, and efficient manner:

- » **HITRUST Readiness:** An evaluation of your organization's current state to identify any gaps that need to be remediated prior to a validated assessment.
- » **SOC 2+ HITRUST:** A SOC 2 examination report that includes our opinion on your controls based on the applicable Trust Services Criteria and the HITRUST common security framework.



John Williamson

Partner | Risk Advisory Services



John.Williamson@whitleypenn.com



214-393-9398

Professional Certifications:

- » Certified Public Accountant (CPA)
- » Certified Information Technology Professional (CITP)
- » Certified Internal Auditor (CIA)
- » Certified Fraud Examiner (CFE)
- » Certified Information System Auditor (CISA)